

#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

| APPLICATION NO.                                    | FILING DATE      | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO.        |  |
|--|------------------|----------------------|-------------------------|-------------------------|--|
| 09/781,636   | 02/12/2001       | Thomas D. Taggart    | STEU-3045               | 8401                    |  |
| 5409 75  | 90 12/26/2001    |                      |                         |                         |  |
| ARLEN L. OLSEN                                     |                  |                      | EXAMINER                |                         |  |
| SCHMEISER, OLSEN & WATTS 3 LEAR JET LANE SUITE 201 |                  |                      | DOUGLAS, STEVEN O       |                         |  |
|  | LATHAM, NY 12110 |                      | ART UNIT                | PAPER NUMBER            |  |
| ,  |                  |                      | 3751                    | 6                       |  |
|  |                  |                      | DATE MAILED: 12/26/2001 | DATE MAILED: 12/26/2001 |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

|  | Application No.                     | Applicant(s)       |  |  |  |
|--|-------------------------------------|--------------------|--|--|--|
|  | 09/781,636                          | TAGGART, THOMAS D. |  |  |  |
| Office Action Summary  | Examiner                            | Art Unit           |  |  |  |
|  | Steven O. Douglas                   | 3751               |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply   |                                     |                    |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days are reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  Status |                                     |                    |  |  |  |
| 1) Responsive to communication(s) filed on 12 I  | <u>February 2001</u> .              |                    |  |  |  |
| 2a) This action is <b>FINAL</b> . 2b) Th   | nis action is non-final.            |                    |  |  |  |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the ments is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.  |                                     |                    |  |  |  |
| Disposition of Claims  |                                     |                    |  |  |  |
| 4) Claim(s) 1-40 is/are pending in the application.  |                                     |                    |  |  |  |
| 4a) Of the above claim(s) is/are withdrawn from consideration.   |                                     |                    |  |  |  |
| 5) Claim(s) is/are allowed.  |                                     |                    |  |  |  |
| 6) Claim(s) is/are rejected.   |                                     |                    |  |  |  |
| 7) Claim(s) is/are objected to.  |                                     |                    |  |  |  |
| 8) Claim(s) <u>1-40</u> are subject to restriction and/or election requirement.  |                                     |                    |  |  |  |
| Application Papers   |                                     |                    |  |  |  |
| 9) The specification is objected to by the Examiner.   |                                     |                    |  |  |  |
| 10)☐ The drawing(s) filed on is/are: a)☐ accep   | pted or b)⊡ objected to by the Exar | niner.             |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  |                                     |                    |  |  |  |
| 11) ☐ The proposed drawing correction filed on is: a) ☐ approved b) ☐ disapproved by the Examiner.   |                                     |                    |  |  |  |
| If approved, corrected drawings are required in reply to this Office action.   |                                     |                    |  |  |  |
| 12) The oath or declaration is objected to by the Examiner.  |                                     |                    |  |  |  |
| Priority under 35 U.S.C. §§ 119 and 120  |                                     |                    |  |  |  |
| 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  |                                     |                    |  |  |  |
| a) All b) Some * c) None of:   |                                     |                    |  |  |  |
| 1. Certified copies of the priority document   | s have been received.               |                    |  |  |  |
| 2. Certified copies of the priority documents have been received in Application No   |                                     |                    |  |  |  |
| <ul> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>  |                                     |                    |  |  |  |
| 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).   |                                     |                    |  |  |  |
| a) ☐ The translation of the foreign language provisional application has been received. 15)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.  |                                     |                    |  |  |  |
| Attachment(s)  |                                     |                    |  |  |  |
| 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s)  |                                     |                    |  |  |  |



Application/Control Number: 09/781,636

Art Unit: 3751

Restriction to one of the following inventions is required under 35 U.S.C. 121:

 Claims 1-21 and 28-36, drawn to a sterilizing apparatus, classified in class 141, subclass 85.

II. Claims 22-27 and 37-40, drawn to a sterilizing method, classified in class 141, subclass 1.

The inventions are distinct, each from the other because of the following reasons:

Inventions II. and I. are related as process and apparatus for its practice. The inventions are distinct if it can be shown that either: (1) the process as claimed can be practiced by another materially different apparatus or by hand, or (2) the apparatus as claimed can be used to practice another and materially different process. (MPEP § 806.05(e)). In this case, the process as claimed can be practiced by another materially different apparatus such an apparatus not requiring a sterile tunnel.

Because these inventions are distinct for the reasons given above and have acquired a separate status in the art because of their recognized divergent subject matter, restriction for examination purposes as indicated is proper.

A telephone call was made to Mr. Arlen L. Olsen on 12-13-01 to request an oral election to the above restriction requirement, but did not result in an election being made.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).



Application/Control Number: 09/781,636

Art Unit: 3751

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Steven O. Douglas whose telephone number is 703-308-0891. The examiner can normally be reached on Wed-Fri 6:30-7:00.

The fax phone numbers for the organization where this application or proceeding is assigned are 703-308-7766 for regular communications and 703-308-7766 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 103-308-0861.

Steven O. Dougkas Primary Examiner Art Unit 3751

SD December 20, 2001

# Attachment for PTO-948 (Rev. 03 01, or earlier) 6/18/01

The below text replaces the pre-printed text under the heading, "Information on How to Effect Drawing Changes," on the back of the PTO-948 (Rev. 03/01, or earlier) form.

### INFORMATION ON HOW TO EFFECT DRAWING CHANGES

#### 1. Correction of Informalities -- 37 CFR 1.85

New corrected drawings must be filed with the changes incorporated therem Identifying indicia, if provided, should include the title of the invention inventor's name, and application number, or docket number (if any) if an application number has not been assigned to the application. If this information is provided, it must be placed on the front of each sheet and centered within the top margin. If corrected drawings are required in a Notice of Allowability (PTOL-37), the new drawings MUST be filed within the THREE MONTH shortened statutory period set for reply in the Notice of Allowability. Extensions of time may NOT be obtained under the provisions of 37 CFR 1 136(a) or (b) for filing the corrected drawings after the mailing of a Notice of Allowability. The drawings should be filed as a separate paper with a transmittal letter addressed to the Official Draftsperson.

2. Corrections other than Informalities Noted by Draftsperson on form PTO-948.

All changes to the drawings, other than informalities noted by the Draftsperson, MUST be made in the same manner as above except that, normally, a highlighted (preferably red ink) sketch of the changes to be incorporated into the new drawings MUST be approved by the examiner before the application will be allowed. No changes will be permitted to be made other than correction of informalities, unless the examiner has approved the proposed changes

## **Timing of Corrections**

Applicant is required to submit the drawing corrections within the time period set in the attached Office communication. See 37 CFR 1.85(a)

Failure to take corrective action within the set period will result in ABANDONMENT of the application